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T-925 P.005/014 F-155

Application No. 10/623,370  
SD-7250.1

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JUL 24 2006

## CONCLUSION

Applicants have responded to each and every objection and rejection, and urge that claims **1-11, 17-21, 26-27, 29-31, 34-35, and 37-38** as presented and amended are now in condition for allowance. Applicants request expeditious processing to issuance.

The Office is authorized to charge **Deposit Account # 19-0131** for any necessary fees regarding this response.

Respectfully submitted,

Robert D. Watson

Robert D. Watson  
Reg. No. 45,604

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Customer No. 20567

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| 10/623,370 | 07/18/2003             | 1614     | 1158          | SD-7250        | 4        | 38       | 4        |

CONFIRMATION NO. 3175

020567  
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Applicant(s)

Mark D. Tucker, Albuquerque, NM;  
Robert H. Comstock, Gardendale, AL;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/251,569 09/20/2002  
and claims benefit of 60/397,424 07/19/2002

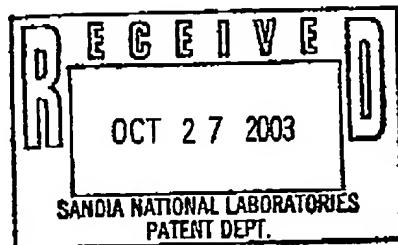
Foreign Applications

If Required, Foreign Filing License Granted: 10/21/2003

Projected Publication Date: 01/29/2004

Non-Publication Request: No

Early Publication Request: No



Title

Decontamination formulation with sorbent additive

Copy sent to DOE 10/27/03

Mon, Jul 24, 2006 9:59 AM

**Subject: <no subject>****Date:** Monday, July 24, 2006 9:45 AM**From:** Loukota, Mary <mloukot@sandia.gov>**To:** "Watson, Robert D" <rdwatso@sandia.gov>**BEST AVAILABLE COPY**

Application Number: 60/397,424 Customer Number: 20567

Filing or 371 (c) Date: 07-19-2002 Status: Provisional Application Expired

Application Type: Provisional Status Date: 09-01-2003

Examiner Name: - Location: [<http://](http://www.uspto.gov/ebc/portal/info_location.htm)[> http://www.uspto.gov/ebc/portal/  
info\\_location.htm <http://www.uspto.gov/ebc/portal/info\\_location.htm> FILE  
REPOSITORY \(FRANCONIA\)](http://www.uspto.gov/ebc/portal/info_location.htm)

Group Art Unit: - Location Date: 08-07-2002

Confirmation Number: 8808 Earliest Publication No: -

Attorney Docket Number: SD-7250 Earliest Publication Date: -

Class / Subclass: - Patent Number: -

First Named Inventor: Mark Tucker Albuquerque, NM (US) Issue Date of Patent: -

Title of Invention: Powdered additive for DF-200

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Page 1 of 1

SD-7250

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEPROVISIONAL APPLICATION (35 U.S.C. § 1.111)**BEST AVAILABLE COPY**

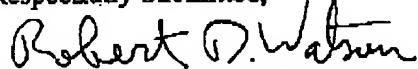
Assistant Commissioner for Patents  
Box: Provisional Patent Application  
Washington, DC 20231.

July 18, 2002

Sir:

In accordance with 35 U.S.C. 111(b), Applicants respectfully submit the enclosed invention description as a Provisional Patent Application: Powdered Additive for DF-200, by Mark D. Tucker, et al.

Respectfully Submitted,



Robert D. Watson, Ph.D.

Reg. No. 45,604

Patent Agent

Sandia National Laboratories

Patent &amp; Licensing Center

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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Provisional Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on the date indicated below, in an envelope, as "Express Mail" with Mailing Label Number \_\_\_\_\_ addressed to: Assistant Commissioner for Patents, Box: Provisional Patent Application, Washington, DC 20231.

July 19, 2002  
Date

Viola Campos  
Viola Campos

Page 1 of 1

**PROVISIONAL APPLICATION FOR PATENT COVER SHEET**

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

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| INVENTORS                   |                        |                                       |
|-----------------------------|------------------------|---------------------------------------|
| Given Name (first & middle) | Surname or Family Name | Residence (City and State or Country) |
| Mark D.                     | Tucker                 | Albuquerque, New Mexico, USA          |
| Bob                         | Comstock               | Gardendale, Alabama, USA              |
| James W.                    | Morand                 | Scottsdale, Arizona, USA              |
|                             |                        |                                       |
|                             |                        |                                       |

**TITLE OF THE INVENTION (280 characters max)**

Powdered Additive for DF-200

**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

 Customer Number: 020567

which is:

Sandia National Laboratories  
 Patent and Licensing Center 11500  
 Mail Stop 0161  
 Albuquerque, NM 87185-0161

PATENT &amp; TRADEMARK OFFICE



020567

**ENCLOSED APPLICATION PARTS (CHECK ALL THAT APPLY)**

- Specification      Number of Pages: 4
- Drawing(s)      Number of Sheets: 0

**METHOD OF PAYMENT OF FEES FOR THIS PROVISIONAL APPLICATION**

- The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account No: 19-0131

**Filing Fee Amount: \$160**

- This invention was made under Contract DE-AC04-94AL85000  
 with the United States Department of Energy

Respectfully submitted:

Date July 18, 2002

Docket No: SD-7250

Signature:

Robert D. Watson, Reg. No. 45,604

**USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT**

+

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Sandia National Laboratories

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- Attach to this form a description of the technical advance, including what it does, how it works, and what makes it different from existing technology. Have at least one Originator, and one independent witness (non-Originator) sign and date each page added to this cover sheet.
- Attach copies of laboratory notebook pages, test data, photographs, and pertinent references (yours and others). Color copies are preferred.
- This page must be signed by your organization's Authorized Derivative Classifier, a witness, and yourself.
- Send the Original and two copies to Patents, Org. 11500, MS 0161.

**Descriptive Title:** Powdered Additive for DF-200TA Preparer: Mark D. Tucker Date: 7/18/2002

TA Originators (Those associated with the development of the technology being reported)

SS No. (SNL only) M/S Org. Phone

| Full Names (add name of Company or University if not a Sandia Employee) | SS No. (SNL only) | M/S  | Org. | Phone        |
|---|-------------------|------|------|--------------|
| Mark D. Tucker  | 322-58-8508       | 0734 | 6245 | 505-844-7254 |
| Bob Comstock, Envirofoam Technologies, Inc.                             |                   |      |      | 256-319-0137 |
| James W. Morand, Envirofoam Technologies, Inc.                          |                   |      |      | 256-319-0137 |
|   |                   |      |      |              |
|   |                   |      |      |              |
|   |                   |      |      |              |

● Is this Sandia work?  Yes  No. If Yes, list source of TA Funding: Project: 37858 Task: 01● Is the TA RELATED to an external collaboration?  CRADA  WFO  NFE  Informal  NoneIf boxes checked, give: Name of Outside Partner Envirofoam ID number for agreement \_\_\_\_\_● Is this work LDRD funded?  Yes  No**Project History:**

1. Has the material in this TA been disclosed to non-Sandians? (journal, SAND report, etc.).....
2. Are you planning to disclose the material in this TA?.....
3. Is the invention in use for its intended application?.....
4. Have you offered to let non-Sandians use the invention?.....
5. Is the material in this TA recorded in a lab notebook or other permanent record?.....

- 
- Yes
- 
- No
- 
- 
- Yes
- 
- No

Please provide details and DATES for any questions marked "Yes".

Question 1: Material has been disclosed to Envirofoam Technologies, Inc., a joint developer; Question 2: Material has been disclosed to Envirofoam Technologies, Inc. a joint developer; Question 4: Envirofoam Technologies, Inc.; Question 5: SNL notebook 082001MDT, Page 66 and Envirofoam Technologies, Inc. meeting notes

Classification: Title UTotal Disclosure UDetailed Description of TA U

Authorized Derivative Classifier:

Larry D BustardOrg.: 6245Date: 7/18/2002

SIGNATURES TA Preparer:

Mark D. TuckerOrg.: 6245Date: 7/18/2002

Witness (non-Originator):

Larry D BustardOrg.: 6245Date: 7/18/2002

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Larry D Bustard**UCI-Patent Caution****BEST AVAILABLE COPY**

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SD: 7250

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**Description**

Sandia National Laboratories has recently developed DF-200, an enhanced decontamination formulation for the neutralization of chemical and biological warfare agents and biological pathogens, which is described in Technical Advance SD-6989 (Tucker, MD, 2001, "DF-200 - An Enhanced Formulation for the Decontamination and Mitigation of CBW Agents and Biological Pathogens", Sandia National Laboratories, SD-6989/S-97,643). Two formulations associated with DF-200 are summarized below:

**DF-200HF (Enhanced Formulation for High Foam Applications):**

2.00% Variquat 80MC (cationic surfactant)  
1.00% Adogen 477 (cationic hydrotrope)  
0.40% 1-Dodecanol (fatty alcohol)  
0.05-0.10% Jaguar 8000 (cationic polymer)  
0.50% Di(propylene glycol) Methyl Ether (solvent)  
2.00-8.00% Bicarbonate salt (buffer and peroxide activator)  
1.00-4.00% Hydrogen Peroxide (oxidant)  
1.00-4.00% Propylene Glycol Diacetate or Glycerol Diacetate (peroxide activator)  
80.00-92.05% Water

Note: The formulation must be adjusted to a pH value between 9.6 and 9.85 and is effective for decontamination of all agents tested.

**DF-200NF (Enhanced Formulation for No Foam Applications):**

2.50% Benzalkonium Chloride  
1.00-8.00% Propylene Glycol Diacetate or Glycerol Diacetate  
1.00%-16.00% Hydrogen Peroxide  
2.00%-8.00% Potassium Bicarbonate  
65.50%-93.50% Water

Note: The formulation must be adjusted to a pH value between 9.6 and 9.85 and is effective for decontamination of all agents tested.

The term 'High Foam' refers to the ability of a formulation to form a highly stable and persistent foam whereas a 'No Foam' formulation does not include foaming constituents that may be used for specific applications such as for the kill of biological organisms, batch processing (such as in chemical agent demilitarization neutralization processes), or spray applications. DF-200 utilizes a water-soluble peroxide activator (propylene glycol diacetate or glycerol diacetate).

The primary purpose for the delivery of DF-200 as a foam is to enable it to adhere to vertical surfaces and the underside of horizontal surfaces for a sufficient period of time to allow neutralization reactions to occur with chemical agents and biological pathogens (the required contact time is anywhere from 2 minutes to 45 minutes depending on the agent to be neutralized).

This TA presents a convenient method to formulate DF-200 for practical use. It uses a highly sorbent material (sorbitol - a sugar alcohol) to 'dry out' the liquid peroxide activator (propylene glycol diacetate or glycerol diacetate). The activator becomes a free flowing powder which is more convenient to handle in the field. Sorbitol is chemically unreactive in DF-200. In addition, it does not destroy the foaming properties of DF-200. The two powders (urea hydrogen peroxide and the sorbitol/activator/polyethylene glycol blend) may be added to the liquid portion of DF-200 together and treated as if they were one powder (although they must be stored separately).

**DF-200HF with Solid Additives (no additional water required)****DF-200HF Part A (Liquid Foam Component):**

20.0 g Viququat 80MC  
 10.0 g Adogen 477  
 4.0 g 1-Decanol  
 8.0 g Diethylene Glycol Monobutyl Ether  
 5.0 g Isobutanol  
 50.0 g Potassium Bicarbonate  
 18.0 g Potassium Hydroxide (the pH of Part A should be approximately 10.4)  
 933.0 g Water

**DF-200HF Part B (Solid Oxidant Component):**

97.0 g Urea/Hydrogen Peroxide

**DF-200HF Part C (Liquid Peroxide Activator):**

20.0 g Propylene Glycol Diacetate or Glycerol Diacetate  
 40.0 g Sorbitol (Sorbitol Fines)  
 20.0 g Polyethylene Glycol 8000 (Carbowax 8080)

Note: This formulation as described above will produce 1 liter of foam solution. The pH of the final formulation should be between 9.6 and 9.85. To prepare this formulation, use the following procedure: Mix Part B and Part C into Part A. After dissolution, use within 8 hours.

The performance of DF-200HF in the configuration shown above for neutralization of chemical agent simulants is given in Figure 1 below:

| Simulant     | % Decontaminated |            |              |
|--------------|------------------|------------|--------------|
|              | 1 Minute         | 15 Minutes | 60 Minutes   |
| Mustard (HD) | 61               | 91         | Not Detected |
| VX           | 28               | 92         | >99          |

Figure 1: Reaction rates in kinetic testing for the DF-200HF.

Tests against the anthrax spore simulant (*Bacillus globigii* spores) demonstrated 99.9999% (7-log) kill after a 60 minute exposure to DF-200HF.

One method for mixing Part C is also presented. This method is described below:

1. Place the sorbitol powder in a mixing vessel.
2. While mixing, slowly add the liquid peroxide activator (propylene glycol diacetate or glycerol diacetate). Mix until a fine powder (no lumps) is achieved.
3. While continuing to mix, slowly add the polyethylene glycol 8000.
4. Let dry for approximately 24 hours. Re-mix to break up any lumps that have formed.

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Project No. 37050  
Book No. 082001 MDT TITLE DF-200 Packaging

From Page No. \_\_\_\_\_

Drying (solidifying) propylene glycol diacetate

use PVP (polyvinylpyrrolidone) as a

binder - use PEG to increase tablet strength

Use this to bind organic solution

Vaseline

Isobornyl

Propylene glycol

Propylene glycol diacetate

Hydrogen

20g PGD + 40g bicarb

+ 30g citrcarb

+ 10g UHP

+ 5g PEG , not flowing

good powder - slightly sticky

To Page No. \_\_\_\_\_

Witnessed &amp; Understood by me,

Date \_\_\_\_\_ Invented by M. L. \_\_\_\_\_

Date \_\_\_\_\_

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DE-200 Dried Activator

Page No. --

-1-2      2 g Pgda  
 0.25 g varquat  
 1.0 g Sorbital  
 3 g citric acid  
 nearly ffp

1-2-1    2 g Pgda  
 0.25 varquat  
 5 g Na citrate  
 2.5 g Na Bicarbonate (pH -)  
 3 g Na B-sulfate  
 3 g sorbital

} nearly ffp  
good ffp after  
2 days

Test 21-3  
 20g water  
 5.1 g 20-1-1  
 3.3 g 21-2-1

} pH 9.3  
Bilayer forms

Test 21-4  
 20g water  
 5.1 g 24-1-1  
 20-3-2 (3.3 g)

} pH ~~9.3~~ 9.3  
Bilayer forms

1-5    20g water + 5.1 g 20-1-1  
 bilayer forms

To Page No. ...

Witnessed &amp; Understood by me.

|             |                      |      |
|-------------|----------------------|------|
| Date        | Inventor's Signature | Date |
| 5/23/02     | <i>Mark J. S.</i>    |      |
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